

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
not yet assigned  
09/743823TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.  
PCT/IT99/00226INTERNATIONAL FILING DATE  
19 July 1999PRIORITY DATE CLAIMED  
17 July 1998TITLE OF INVENTION A SYNTHETIC POLYNUCLEOTIDE CODING FOR HUMAN LACTOFERRIN, VECTORS, CELLS  
AND TRANSGENIC PLANTS CONTAINING ITAPPLICANT(S) FOR DO/EO/US  
Corrado FOGHER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4.  The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5.  A copy of the International Application as published.
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A FIRST preliminary amendment with copy of amended claims.
  - A SECOND or SUBSEQUENT preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:

Copy of Form PCT/IB/308

Copy of PCT Request

Copy of PCT Demand

Copy of Form PCT/IPEA/416 with International Preliminary Examination Report with annexes

Copy of International Search Report (see Information Disclosure Statement)

17.  The following fees are submitted:

## BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$ 860.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

**\$**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	58 - 20 =	38	X \$18.00	\$ 684.00
Independent claims	3 - 3 =	0	X \$80.00	\$ 0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	0*		+ \$270.00	\$ 0

**TOTAL OF ABOVE CALCULATIONS =** **\$ 1544.00**

Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**\$ 772.00**

**SUBTOTAL =** **\$ 772.00**

Processing fee of \$130.00 for furnishing the English translation later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**\$**

**+**

**TOTAL NATIONAL FEE =** **\$ 772.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

**\$**

**+**

**TOTAL FEES ENCLOSED =** **\$ 772.00**

**\*PRELIMINARY AMENDMENT DELETING MULTIPLE  
DEPENDENCIES ENCLOSED!**

Amount to be refunded:	\$
charged:	\$

a.  A check in the amount of **\$ 772.00** to cover the above fees is enclosed.

b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0415. A duplicate copy of this sheet is enclosed.

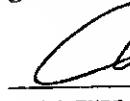
**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

January 16, 2001

DATE

LADAS & PARRY  
5670 Wilshire Blvd., #2100  
Los Angeles, California 90036-5679  
Telephone No.: (323) 934-2300  
Telefax No.: (323) 934-0202

  
SIGNATURE:  
Richard P. Berg

NAME  
28,145

REGISTRATION NUMBER

EL652176684US

09/743823

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

REGARDING THE INTERNATIONAL APPLICATION OF  
Corrado FOGHER

JC07 Rec'd PCT/PTO 16 JAN 2001

ENTITLED: "A SYNTHETIC POLYNUCLEOTIDE CODING FOR HUMAN  
LACTOFERRIN, VECTORS, CELLS AND TRANSGENIC PLANTS CONTAINING IT"  
INTERNATIONAL APPLN. NO. PCT/IT99/00226  
Our Ref: B-4075PCT 618484-4

Commissioner of Patents and Trademarks  
BOX PCT  
Washington, D.C. 20231

Attn: United States Designated/Elected Office (DO/EO/US)

INTERNATIONAL STAGE EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL652176684US

Date of Deposit: January 16, 2001

I hereby certify that the following attached papers or fee

- postcard
- check for \$772.00
- Transmittal Letter (2 pages)
- copy of PCT International Application as published  
consisting of:
  - Title Page with Abstract (1 page)
  - Specification (52 pages)
  - Claims (4 pages)
  - Drawings (13 sheets)
  - Sequence Listing (16 pages)
  - copy of Form PCT/IB/308 (2 pages)
  - Preliminary Amendment (9 pages) with  
copy of amended Claims (5 pages)
  - Copy of PCT Request (4 pages)
  - Copy of PCT Demand (3 pages)
  - Copy of Form PCT/IPEA/416 (1 page) with International  
Preliminary Examination Report with annexes (13 pages)
  - Information Disclosure Statement (2 pages) with  
Form PTO-1449 (Modified) (1 page), copy of  
International Search Report (4 pages) and copies of  
cited documents

are being deposited with the United States Postal Service "Express  
Mail Post Office to Addressee" service with sufficient postage  
under 37 CFR 1.10 on the date indicated above in an envelope  
addressed to the Commissioner of Patents and Trademarks, Box PCT,  
Washington, D.C. 20231, Attn: United States Designated/Elected  
Office (DO/EO/US).

Karyn Lao

(Typed or printed name of person mailing paper or fee)

